





Case Docket No. AMAZON.062A3

Date: Docember 18, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Caplan, et al.

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

App. No.

09/928,977

August 13, 2001

For

Filed

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Group Art Unit:

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TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

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A1 FC-115

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Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on September 20, 2001, enclosed are the following documents:

(X) An executed Declaration by Inventor(s);

(X) A Power of Attorney Form and Copy of Assignment;

(X) A Notice to File Missing Parts;

(X) A check in the amount of \$1,702.00 to cover the filing fees; and

(X) A return prepaid postcard.

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An extension of time to respond for one month is hereby requested.

Time Extension Fee:

(X) one month (\$110 large entity)
() two months (\$400 large entity)
() three months (\$920 large entity)









United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/928,977 08/13/2001 Alan Caplan AMAZON.062A3

CONFIRMATION NO. 7738

Date Mailed: 09/20/2001

20995 KNOBBE MARTENS OLSON & BEAR LLP 620 NEWPORT CENTER DRIVE SIXTEENTH FLOOR NEWPORT BEACH, CA 92660 FORMALITIES LETTER
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02 FC:101 740.00 OP 03 FC:105 130.00 OP 04 FC:102 336.00 OP 05 FC:103 46.00 CH 386.00 OP

03 FC:103 45 NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

2 FC:105 430:00 6P 3 FC:108 336:00 0P 4 FC:103 432:00 6P 5 FC:101 46 AA FH 494 AA AA

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$752.
 - **\$432** for **24** total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1592.

A	copy of	f this	notice	<u>MUST</u>	be	returned	with	the	reply.
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

3) 308-1202 PART 1 - ATTORNEY/APPLICANE COA

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Date: December 18, 2001

Fees as calculated below:

UNPAID FILING FEES AT TIME OF FILING	\$ 1,462.00
FEE FOR EXTENSION OF TIME (LARGE ENTITY) 1 month	\$ 110.00
SURCHARGE 37 CFR 1.16(e)	\$ + 130.00
TOTAL FEES SUBMITTED HEREWITH	\$ 1,702.00

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

Ronald J. Schoenbaum/ Registration No. 38,297 Attorney of Record

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CCPY OF FARERS

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Martens Dison & Bear LLP

Intellectual Property Law 620 Newport Center Drive, 16th Floor, Newport Beach, CA 92660

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